



05-06-03

62/3629 \$  
16999-00005  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Williams et al. :  
Serial No.: 09/558,980 : Art Unit: 3629  
Filed: April 27, 2000 : Examiner: Debra F. Charles  
For: SYSTEMS AND METHODS :  
FOR ASSET VALUATION :

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GROUP 3600

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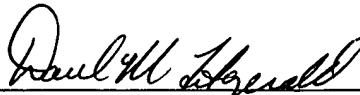
**Express Mail mailing label number: EV339988725US**

**Date of Mailing: May 5, 2003**

I certify that the documents listed below:

- Amendment Transmittal (3 pgs., in duplicate)
- Amendment in Response to Office Action dated November 20, 2002 (28 pgs.)
- Submission of Marked Up Claims (4 pgs.)
- Request for Approval of Formal Drawings (1 pg.)
- Nine (9) Sheets of Formal Drawings
- Certificate of Mailing via Express Mail (1 pg.)
- Return post card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Daniel M. Fitzgerald  
Registration No. 38,880  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
(314) 621-5070



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: Art Unit: 3629  
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: Examiner: Debra F. Charles  
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Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*For review  
#5/B extension  
May 9, 2003*

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MAY 08 2003  
GROUP 3600

TRANSMITTAL

- Transmitted herewith is:  
Response to Office Action dated November 20, 2002; Submission of Marked Up  
Claims; Request for Approval of Formal Drawings; Certificate of Mailing by Express  
Mail

STATUS

- Applicant  
☒ claims small entity status.  
☒ is other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service  
Express Mail, Post Office to Addressee, Label No.  
EV339988725US, addressed to Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 5-5-03

FACSIMILE

Transmitted by facsimile to the Patent and  
Trademark Office

*Daniel M. Fitzgerald*  
Daniel M. Fitzgerald  
Reg. No. 38,880

05/07/2003 HDAHTE1 00000048 012384 09558980

01 FC:1253

930.00 CNY

*OK  
1 mo  
granted*

### EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input type="checkbox"/> First month	\$ 110.00	\$ 55.00
<input type="checkbox"/> Second month	\$ 410.00	\$ 205.00
<input checked="" type="checkbox"/> Third month	\$ 930.00	\$ 465.00
<input type="checkbox"/> Fourth month	\$1,450.00	\$ 725.00
<input type="checkbox"/> Fifth month	\$1,970.00	\$ 985.00

Fee: \$ 930.00

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

— An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 930.00.

OR

- (b) — Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OR	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL	65	MINUS	65	=0	x \$9 = \$		x \$18 = \$0.00
INDEP.	4	MINUS	3	=1	x \$42 = \$		x \$84 = \$84.00
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$84.00

- (a) \_\_\_\_\_ No additional fee for Claims is required

**OR**

- (b) ☒ Total additional fee for claims required \$84.00

## FEE PAYMENT

5. \_\_\_\_\_ Attached is a check in the sum of \$\_\_\_\_\_
- ☒ Charge Deposit Account No. 01-2384 the sum of \$1,014.00.  
A duplicate of this transmittal is attached.

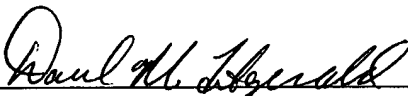
## FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

**AND/OR**

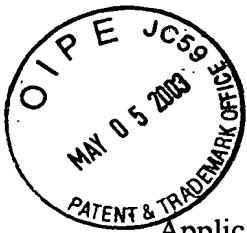
- ☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. \_\_\_\_\_ Other:

  
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*Debra F. Charles*  
#8  
5/9 103

**REQUEST FOR APPROVAL OF FORMAL DRAWINGS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Submitted herewith are Nine (9) Sheets of Formal Drawings for the above-referenced patent application. Applicants respectfully request approval of the enclosed drawings.

Respectfully Submitted,

A handwritten signature of Daniel M. Fitzgerald.

Daniel M. Fitzgerald  
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ARMSTRONG TEASDALE LLP  
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